

### **ANNEX I**

### Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

- 1. Name of Listed Entity: Kotak Mahindra Investments Limited
- 2. Quarter ending: December 2021

I. Co	mposition	of Boa	ard of Directors	;								
Titl e (Mr . / Ms)	Nam e of the Director	PAN\$ & DIN	Category (Chairperson /Executive/ Non- Executive/ independent / Nominee) &	Initial Date of Appoi nt ment	Dat e of Re- ap p oin t me n t	Dat e of Ce ssa tio n	Te n ure *	Date of Birth	No. Of directo rship in listed entities includi ng this listed entity [ <i>in</i> <i>referen</i> <i>ce to</i> <i>Regula</i> <i>tion</i> 170(1)	No of Indepen dent Directors hip in listed entities including this listed entity [in referenc e to proviso to regul ation 17A(1)]	Number of membershi ps in Audit/ Stakehold er Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Licting
1	Mr. Uday	00007	Chairperson,	13 Dec	-	-	-	15.03.1959		ny is in the pro	ocess of obtain	ing the relevant
	Kotak			1996						•	nd shall be sar	ne submitted at
			Executive-Non						the earliest			
			Independent									
			Director									



2		388	Non-Executive - Independent Director			17 Aug 2020	-		15 Apr 1965
3	Mr. Chandras hekhar Sathe	605	Non-Executive - Independent Director			30 Mar 2020		5 years	01.09.1950
4	Mr. Arvind Kathpalia	873	Non-Executive – Non Independent Director	01 2018	Apr	-	-	-	19.03.1958
5	Mr. Krishan Venkat Subrama ni an	794	Non-Executive - Independent Director		Apr	-		5 years	5 Oct 1961
6	Mr.	935	Non-Executive – Non Independent Director	12 2021	Nov	-	-	-	08.06.1961
7		300	Non-Executive - Non Independent Director	9 2016	Dec	-		5 years	5 Oct 1968



Whether Chairperson is related to managing director or CEO - No
PAN of any director would not be displayed on the website of Stock Exchange. The Company has PAN of the Directors. However given that the report is submitted in pdf format as per BSE Circular dated 1 <sup>st</sup> October 2021, the same has not been disclosed here.
&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on



Name of Committee	Whether Regul ar chair	Name of Committee members	Category (Chairperso n/Execu tive/Non-	Date of Appointment	Date of Cessatio n
1. Audit Committee	Yes	Ms. Padmini Khare	Non- Executive	17 Aug 2015 -	
		Chandrashekh	Non- Executive - Independent	30 Mar 2015	
			Non- Executive - Non	01 Apr 2018	
2. Nomination & Remuneration Committee	<sup>2</sup> Yes	Ms. Padmini Khare	Non- Executive	17 Aug 2015 -	
		Chandrashekh	Non- Executive - Independent	30 Mar 2015	
		Mr. Arvind Kathpalia	Non- Executive -	01 Apr 2018	
		Mr. Krishan Vonkat	Non-	21 Apr 2014	
3. Risk Managemen Committee(if applicable)	tYes	Mr. Arvind Kathpalia		01 Apr 2018	
		Mr. Krishan	Non- Executive -	21 Apr 2014	
		, ,	Executive	9 Dec 2016 -	
4. Stakeholders Relationshi Committee'	March 2023	8 vide Securities	and Exchange E	e requirements by 31 Board of India (Listing (Fifth Amendment)	



# III. Meeting of Board of Directors

Date(s) Meeting (if any) in the previous quarter	of Date(s) oj Meeting (if any) in the relevant quarter	f Whether require ment of Quoru m met*	Number of Directors present*	Number of independen t directors present*	Maximum between any two consecutiv e (in number of days)	gap
12-07-2021		Yes	3	2		
24-07-2021		Yes	4	2	11	
07-08-2021		Yes	3	2	13	
17-09-2021		Yes	3	2	40	
	25.10.2021	yes	6	2	38 davs	
	12.11.2021	yes	6	2	18	days

\* to be filled in only for the current quarter meetings

## IV. Meetings of Committees

### AUDIT COMMITTEE

	. <b>L</b>					
Date(s) of	Whether	Num	Numbe		Maximum	gap
meeting of the	requirement	ber of		meeting of	between	
committee in the	of Quorum	Direct	indepe	the committee	any two	
relevant quarter	met	ors	ndent	in the previous	consecuti	
	(details)*	prese	directo	quarter	ve	
	(acturis)	nt*	rs		meetings	
			nrocon		in	
22.10.2021	Yes	3	2	22.10.2021	90	
25.10.2021	Yes	3	2	25.10.2021	3	
12.11.2021	Yes	3	2	12.11.2021	18	
CORPORATE SOCI	AL RESPONSIBILIT	Y				
Date(s) of	Whether	Num	Numbe	Date(s) of	Maximum	gap
meeting of the	requirement	ber of	r of	meeting of	between	5.
committee in the		Direct	indepe	the committee	any two	
relevant quarter	met	ors	ndent	in the previous	consecuti	
relevant quarter		prese			ve	
	(details)*	nt*		quarter	meetings	
		III.	rs		5	
None			nresen		in	
NOTE						
				1		



meeting of the committee in the relevant quarterrequirement of Quorum met (details)*ber of r of Direct ors prese ndent rs prese directo nt*meeting of the committee any two consecuti ve meetings in21-Dec-21Yes3-23-Sep-2189STAKEHOLDERS RELATIONSHIP COMMITTEESTAKEHOLDERS RELATIONSHIP COMMITTEE	<b>RISK MANAGEMEI</b>						
21-Dec-21       Yes       3       -       23-Sep-21       89         STAKEHOLDERS RELATIONSHIP COMMITTEE         Date(s) of meeting of the committee in the relevant quarter       Whether of Quorum       Num ber of Direct       Num of ndent       Date(s) meeting of the committee       of between any two consecuti         view       met (details)*       ors       ndent       in the previous quarter       ors	meeting of the committee in the	requirement of Quorum met	ber of Direct ors prese	r of indepe ndent directo rs	meeting of the committee in the previous	between any two consecuti ve meetings	gap
Date(s) of meeting of the requirement committee in the (details)*Whether requirement ber of r ofNum num r of r of Direct of ndentDate(s) neeting of meeting of the committee any two consecuti veDate(s) of meeting of meeting of meeting of of meeting of meeting of the committee ors preseNum ber of r of meeting of the committee ors preseNum between meeting of the committee ors prese	21-Dec-21	Yes	3		23-Sep-21		
meeting of the committee in the relevant quarterrequirement of Quorumber of r of Direct ors presemeeting of the committee indepebetween any two consecuti ve	STAKEHOLDERS RI	ELATIONSHIP COM	IMITTE	E			
Yes / No presen in	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Num ber of Direct ors prese	Numbe r of indepe ndent directo rs	meeting of the committee in the previous	between any two consecuti ve meetings	gap

The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment)

Populations 2021 The Company chall comply with this requirement in due courses This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

\*\*to be filled in only for the current quarter meetings

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes
Note:	
1 In the column "Compliance Status", compliance or n by Yes/No/N.A. For example, if the Board has been of requirements of Listing Regulations, "Yes" may be Listed Entity has no related party transactions, the w	composed in accordance with the indicated. Similarly, in case the



#### VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.- Please refer below note#
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements)

Regulations, 2015

- a. Audit Committee
- b. Nomination & Remuneration Committee
- c. Stakeholders Relationship Committee Please refer below note#
- d. Risk management committee (as applicable)
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Please refer below note#**
- 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. **Please refer below note#**
- 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.- No

#Note: The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.

Name & Designation

Jignesh Dave Company Secretary

Note: Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by listed entity and instead a statement" same as previous quarter" may be given