

[Home](#)[Validate](#)[Import XML](#)

General information about company

Scrip code	958903
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the entity	KOTAK MAHINDRA INVESTMENTS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	30-06-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter
ended date only

[Prev](#)[Next](#)

Annexure I
Annexure I to be submitted by listed entity on quarterly basis
I. Composition of Board of Directors

Disclosure of nature on composition of board of directors explanatory		Add Notice		Whether the Board entity has a Regular Chairperson																					
Whether the Board entity has a Regular Chairperson		Yes		No																					
Whether Chairperson is related to CEO or CFO		Yes		No																					
Disqualification of Directors under section 164 of the Companies Act, 2013																									
Sr	Title (Mr/Ms)	Name of the Director	PAN	DOB	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Under Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of director (in months)	No of Directorships held on date including this listed entity (Under Listing Regulations)	No of Independent Directorships held on date including this listed entity (Under Listing Regulations)	Number of membership in Audit/ Stakeholder Councils including this listed entity (Under Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Councils held in listed entities including this listed entity (Under Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DOB
ADD	Circle																								
1	Mr	LUDHAKOTIA	AABD9889F	02072667	Non-Executive - Non-Independent Director	Chairperson related to Director		11-03-1959	No				Active	N/A		01-10-2019			116.00	1	0	2	1		
2	Mr	CHANDRASEKHAR SATHI	AAD15621D	02017005	Non-Executive - Independent Director	Not Applicable		01-09-1950	No				Active	N/A		20-03-2015	30-03-2020		09.00	0	0	4	0		
3	Mr	ARESH SHEERSH PANKSARI	AAD95756J	02413035	Non-Executive - Independent Director	Not Applicable		11-10-1961	No				Active	N/A		08-10-2019			8.00	0	0	3	2		
4	Mr	ARETOSH KASHYAP	AABF9883P	02354000	Non-Executive - Non-Independent Director	Not Applicable		05-10-1968	No				Active	N/A		08-12-2016			29.00	0	0	2	0		
5	Ms	ARCHANA KHARE KANCHER	AABF9214T	02290388	Non-Executive - Independent Director	Not Applicable		15-06-1965	No				Active	N/A		17-09-2015	17-09-2020		04.00	4	4	5	4		
6	Mr	ARUN SHANKAR	AABF5414H	03061813	Non-Executive - Non-Independent Director	Not Applicable		17-04-1974	No				Active	N/A		05-07-2010			14.00	0	0	1	0		
7	Mr	ARUN BAGEL	AABD9683M	03030003	Executive Director	Not Applicable	CEO-MD	20-12-1973	No				Active	N/A		05-07-2010			14.00	0	0	1	0		
8	Mr	ARUN KAPTE	AABF9417H	03101005	Non-Executive - Independent Director	Not Applicable		08-05-1954	No				Active	N/A		13-03-2013			4.00	4	4	2	2		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committee explanatory

Additional Attachment

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto September 30, 2022.
 3. Date of Cessation must be for the current quarter only, i.e. July 2022 in December '20 2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1.	0026388	FADARI KHANE KANDEK	Non-Executive - Independent Director	Chairperson	17-08-2015		
2.	00517605	CHANDERSEKHAR SATHI	Non-Executive - Independent Director	Member	30-03-2015		
3.	0966181	PHANU SHINWAR	Non-Executive - Non-Independent Director	Member	05-07-2022		
4.	02422035	FARESH SHEERESH FARANSI	Non-Executive - Independent Director	Member	20-10-2022		
5.							
6.							
7.							
8.							
9.							
10.							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1.	0026388	FADARI KHANE KANDEK	Non-Executive - Independent Director	Chairperson	17-08-2015		
2.	00517605	CHANDERSEKHAR SATHI	Non-Executive - Independent Director	Member	30-03-2015		
3.	07563300	PABTOSHI KASHYAP	Non-Executive - Non-Independent Director	Member	29-06-2022		
4.							
5.							
6.							
7.							
8.							
9.							
10.							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1.	00196106	PRKASHI ATTE	Non-Executive - Independent Director	Chairperson	20-03-2023		
2.	07563300	PABTOSHI KASHYAP	Non-Executive - Non-Independent Director	Member	20-03-2023		
3.	09659093	AMT BAGRI	Executive Director	Member	20-03-2023		
4.							
5.							
6.							
7.							
8.							
9.							
10.							

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1.	00517605	CHANDERSEKHAR SATHI	Non-Executive - Independent Director	Chairperson	29-06-2022		
2.	07563300	PABTOSHI KASHYAP	Non-Executive - Non-Independent Director	Member	14-09-2018		
3.	09659093	AMT BAGRI	Executive Director	Member	03-07-2022		
4.	0966181	PHANU SHINWAR	Non-Executive - Non-Independent Director	Member	05-07-2022		
5.							
6.							
7.							
8.							
9.							
10.							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be populated automatically.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1.	02422035	FARESH SHEERESH FARANSI	Non-Executive - Independent Director	Chairperson	20-10-2022		
2.	07563300	PABTOSHI KASHYAP	Non-Executive - Non-Independent Director	Member	20-07-2019		
3.	09659093	AMT BAGRI	Executive Director	Member	03-07-2022		
4.	00196106	PRKASHI ATTE	Non-Executive - Independent Director	Member	20-03-2023		
5.							
6.							
7.							
8.							
9.							
10.							

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						

[Home](#)[Validate](#)**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
	Add Delete						
1	14-01-2023			Yes	7	6	3
2	20-01-2023	5		Yes	7	7	3
3	13-02-2023	23		Yes	7	4	1
4	23-02-2023	9		Yes	8	6	3
5	20-03-2023	24		Yes	8	7	3
6	22-04-2023	32		Yes	8	8	4
7	26-05-2023	33		Yes	8	8	4

[Prev](#)[Next](#)

* to be filled in only for the current quarter meetings

[Home](#)[Validate](#)

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Add Delete										
1	Audit Committee	09-01-2023				Yes	4	4	3	0
2	Audit Committee	20-01-2023	10			Yes	4	4	3	0
3	Audit Committee	11-02-2023	21			Yes	4	3	3	0
4	Audit Committee	03-04-2023	50			Yes	4	4	3	0
5	Audit Committee	22-04-2023	18			Yes	4	4	3	0
6	Audit Committee	25-05-2023	32			Yes	4	4	3	0
7	Nomination and remuneration committee	11-02-2023				Yes	3	3	2	0
8	Nomination and remuneration committee	30-04-2023	77			Yes	3	3	2	0
9	Risk Management Committee	28-03-2023				Yes	4	3	0	0
10	Risk Management Committee	26-06-2023	89			Yes	4	4	1	0
11	Corporate Social Responsibility Committee	27-01-2023				Yes	4	4	1	0

[Prev](#)[Next](#)

* to be filled in only for the current quarter meetings

Home

Validate

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

Prev

Next

[Home](#)[Validate](#)**Annexure 1****VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

[Prev](#)[Next](#)**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Rajeev Kumar
2	Designation	Company Secretary and Compliance Officer

[Home](#)[Validate](#)

Signatory Details

Name of signatory	Rajeev Kumar
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	20-07-2023

[Prev](#)