

ANNEX I
Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity: **Kotak Mahindra Investments Limited**
2. Quarter ending: **December 2021**

I. Composition of Board of Directors												
Title (Mr./Ms)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/ independent / Nominee) &	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure *	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directors in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulation s)
1	Mr. Uday Kotak	00007467	Chairperson, Non-Executive-Non Independent Director	13 Dec-1996	-	-	-	15.03.1959	The Company is in the process of obtaining the relevant information as required and shall be same submitted at the earliest.			

	Whether Chairperson is related to managing director or CEO - No
	<p>→ PAN of any director would not be displayed on the website of Stock Exchange. The Company has PAN of the Directors. However given that the report is submitted in pdf format as per BSE Circular dated 1st October 2021, the same has not been disclosed here.</p> <p>& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on</p>

II. Composition of Committees					
<i>Name of Committee</i>	Whether Regular chair	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Non-Independent)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Ms. Padmini Khare	Non-Executive	17 Aug 2015	
		Mr. Chandrashekar Sathe	Non-Executive Independent	30 Mar 2015	
		Mr. Arvind Kathpalia	Non-Executive Non-Independent	01 Apr 2018	
2. Nomination & Remuneration Committee	Yes	Ms. Padmini Khare	Non-Executive	17 Aug 2015	
		Mr. Chandrashekar Sathe	Non-Executive Independent	30 Mar 2015	
		Mr. Arvind Kathpalia	Non-Executive Non-Independent	01 Apr 2018	
		Mr. Krishan Venkat	Non-Executive Non-Independent	21 Apr 2014	
3. Risk Management Committee (if applicable)	Yes	Mr. Arvind Kathpalia	Non-Executive Non-Independent	01 Apr 2018	
		Mr. Krishan Venkat Subramanian	Non-Executive Non-Independent	21 Apr 2014	
		Mr. Paritosh Kashyap	Non-Executive Non-Independent	9 Dec 2016	
4. Stakeholders Relationship Committee'	The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment)				
<i>&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all</i>					

III. Meeting of Board of Directors						
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>	
12-07-2021		Yes	3	2		
24-07-2021		Yes	4	2	11	
07-08-2021		Yes	3	2	13	
17-09-2021		Yes	3	2	40	
	25.10.2021	yes	6	2	38 days	
	12.11.2021	yes	6	2	18 days	
<i>* to be filled in only for the current quarter meetings</i>						
IV. Meetings of Committees						
AUDIT COMMITTEE						
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in</i>	
22.10.2021	Yes	3	2	22.10.2021	90	
25.10.2021	Yes	3	2	25.10.2021	3	
12.11.2021	Yes	3	2	12.11.2021	18	
CORPORATE SOCIAL RESPONSIBILITY						
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in</i>	
None						

RISK MANAGEMENT COMMITTEE					
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in</i>
21-Dec-21	Yes	3	-	23-Sep-21	89
STAKEHOLDERS RELATIONSHIP COMMITTEE					
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in</i>
	Yes / No				
The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.					
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					
**to be filled in only for the current quarter meetings					
V. Related Party Transactions					
Subject				Compliance (Yes/No/NA) status	
Whether prior approval of audit committee obtained				Yes	
Whether shareholder approval obtained for material RPT				N.A.	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee				Yes	
Note:					
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.					

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.- **Please refer below note#**
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee – **Please refer below note#**
 - d. Risk management committee (as applicable)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Please refer below note#**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. **Please refer below note#**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.- No

#Note: The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.

Name & Designation

Jignesh Dave
Company Secretary

Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by listed entity and instead a statement “same as previous quarter” may be given