

ANNEX I
Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity: **Kotak Mahindra Investments Limited**
2. Quarter ending: **June 2022**

I. Composition of Board of Directors												
Title (Mr./Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Initial Date of Appointment	Date of Resignation	Date of Cessation	Tenure*	Date of Birth	No. Of directorship in listed entities including this listed entity <i>[in reference to Regulation 17A(1)]</i>	No of Independent Directors in listed entities including this listed entity <i>[in reference to proviso to regulation</i>	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity <i>(Refer Regulation 26(1) of Listing</i>
1	Mr. Uday Kotak	00007467	Chairperson, Non-Executive-Non Independent	13 Dec-1996	-	-	-	15.03.1959	7	0	1	1

2	Ms. Padmini Khare	0029 6 388	Non-Executive Independent Director	17 Aug 2015	17 Aug 2020	-	-	15 Apr 1965	5	5	0	5
3	Mr. Chandrashekhar Sathe	0001 7605	Non-Executive Independent Director	30 Mar 2015	30 Mar 2020	-	5 years	01.09.1950	6	2	3	0
4	Mr. Rajiv Gurnani	0956 0091	Non-Executive Non Independent Director	04 Apr 2022	-	-	-	27.09.1966	2	0	2	0
5	Mr. Krishan Venkat Subramanian	0003 1 794	Non-Executive Independent Director	21 Apr 2014	-	-	5 years	5 Oct 1961	5	0	1	0
6	Mr. Paritosh Kashyap	0765 6 300	Non-Executive Non Independent Director	9 Dec 2016	-	-	5 years	5 Oct 1968	2	0	5	0
Whether Chairperson is related to managing director or CEO – YES, MD and Chairperson are the same person												

	<p><i>‡PAN of any director would not be displayed on the website of Stock Exchange. The Company has PAN of the Directors. However given that the report is submitted in pdf format as per BSE Circular dated 1st October 2021, the same has not been disclosed here.</i></p> <p><i>&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</i></p> <p><i>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</i></p>
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II. Composition of Committees					
<i>Name of Committee</i>	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) §	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Ms. Padmini Khare	Chairperson, Non-Executive - Independent Director	17 Aug 2015	
		Mr. Chandrashekar Sathe	Non-Executive Independent Director	30 Mar 2015	
		Mr. Rajiv Gurnani	Non-Executive - Non-Independent Director	13 Apr 2022	
2. Nomination & Remuneration Committee	Yes	Ms. Padmini Khare	Non-Executive Independent Director	17 Aug 2015	
		Mr. Chandrashekar Sathe	Non-Executive Independent Director	30 Mar 2015	
		Mr. Rajiv Gurnani	Chairperson Non-Executive - Non-Independent Director	13 Apr 2022	
		Mr. Krishan Venkat Subramanian	Non-Executive - Non-Independent Director	21 Apr 2014	

3. Risk Management Committee (if applicable)	Yes	Mr. Rajiv Gurnani	Chairperson - Non-Executive Independent Director	13 Apr 2022	
		Mr. Krishan Venkat Subramanian	Non-Executive - Non-Independent Director	21 Apr 2014	
		Mr. Paritosh Kashyap	Non-Executive - Non-Independent Director	9 Dec 2016	

4. Stakeholders Relationship Committee
 The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meetings
24.01.2022		Yes	6	2	74 days
12.02.2022		Yes	5	1	20 days
11.03.2022		Yes	4	1	28 days
26.03.2022		Yes	5	2	16 days
	26.04.2022	Yes	6	2	32 days
	23.05.2022	Yes	5	2	28 days
	29.06.2022	Yes	4	1	38 days

* to be filled in only for the current quarter meetings

IV. Meetings of Committees

AUDIT COMMITTEE

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number

26.04.2022	Yes	3	3	11.01.2022	74
23.05.2022	Yes	3	3	24.01.2022	28
07.06.2022	Yes	3	3	12.02.2022	16
CORPORATE SOCIAL RESPONSIBILITY					
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two</i>
					<i>o</i>
RISK MANAGEMENT COMMITTEE					
	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two</i>
					<i>o</i>
30.06.2022	Yes	3	-	22.03.2022	101 days
STAKEHOLDERS RELATIONSHIP COMMITTEE					
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)*</i>	<i>Number of Directors present*</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two</i>
	Yes / No				<i>o</i>
<p>The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.</p> <p><i>* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional</i></p> <p><i>**to be filled in only for the current quarter meetings</i></p>					
V. Related Party Transactions					
Subject				Compliance status (Yes/No/NA)	
				refer note	

Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes
<p>Note:</p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p>	
<p>VI. Affirmations</p> <ol style="list-style-type: none"> The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.- Please refer below note# The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 <ol style="list-style-type: none"> Audit Committee Nomination & Remuneration Committee - Please refer below note# Stakeholders Relationship Committee – Please refer below note# Risk management committee (as applicable) The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Please refer below note# The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Please refer below note# This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.- No <p>#Note: The Company needs to comply with these requirements by 31 March 2023 vide Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2021. The Company shall comply with this requirement in due course.</p>	

Name & Designation

Jignesh Dave
Company Secretary

Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by listed entity and instead a statement "same as previous quarter" may be given