

KOTAK MAHINDRA INVESTMENTS LIMITED

Disclosure on Liquidity Risk Under Liquidity Risk Management Framework as at 30th Sep 2020.

(i) Funding Concentration based on significant counterparty (both deposits and borrowings)

(Amount in lakhs)

Sr No.	Number of Significant Counterparties *	Amount	% of Total deposits	% of Total Liabilities
1	26	349,540	0%	75%

* A "Significant counterparty" is defined as a single counterparty or group of connected or affiliated counterparties accounting in aggregate for more than 1% of the total liabilities

(ii) Top 20 large deposits

Not Applicable

(iii) Top 10 Borrowings (amount in Rs Lakh and % of Total Borrowings)

(Amount in lakhs)

Sr No.	Name of the party	Amount	% of Total Borrowings
1	WIPRO LIMITED	79,940	18%
2	KOTAK MAHINDRA BANK LIMITED	30,000	7%
3	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	20,000	4%
4	KOTAK SECURITIES LIMITED	18,000	4%
5	KOTAK MONEY MARKET FUND	15,000	3%
6	IDFC CASH FUND	15,000	3%
7	MAHINDRA & MAHINDRA LIMITED	15,000	3%
8	SERUM INSTITUTE OF INDIA PVT LTD	12,500	3%
9	ADITYA BIRLA SUN LIFE LIQUID FUND	12,500	3%
10	ADITYA BIRLA SUN LIFE FLOATING RATE FUND	12,400	3%
	Total	230,340	51%

(iv) Funding Concentration based on significant instrument/product

(Amount in lakhs)

Sr No.	Name of Significant Instrument / Product **	Amount	% of Total Liabilities
1	Non-Convertible Debenture	259,900	56%
2	Commercial Paper	129,500	28%
3	Borrowing from Banks	30,000	6%
4	Inter Corporate Deposits	15,000	3%
5	Unsecured Debentures	20,000	4%

** A "significant instrument/product" is defined as a single instrument/product of group of similar instruments/products which in aggregate amount to more than 1% of total liabilities.

(v) Stock Ratios:

Sr No.	Instrument/Product	%age of		
		Total Public Funds	Total Liabilities	Total Assests
1	Commercial Paper	28.84%	27.68%	19.46%
2	Non Convertible Debentures (Original Maturity of Less than One Year)	0.00%	0.00%	0.00%
3	Borrowing from Banks (short term borrowings)	0.00%	0.00%	0.00%
4	Inter Corporate Deposits (short term borrowings)	0.00%	0.00%	0.00%