

KOTAK MAHINDRA INVESTMENTS LIMITED
Disclosure on Liquidity Risk Under Liquidity Risk Management Framework as at 30th September 2021.
(i) Funding Concentration based on significant counterparty (both deposits and borrowings)
(Amount in lakhs)

Sr No.	Number of Significant Counterparties *	Amount	% of Total deposits	% of Total Liabilities
1	23	6,69,000	0%	92%

* A "Significant counterparty" is defined as a single counterparty or group of connected or affiliated counterparties accounting in aggregate for more than 1% of the total liabilities

(ii) Top 20 large deposits

Not Applicable

(iii) Top 10 Borrowings (amount in Rs Lakh and % of Total Borrowings)
(Amount in lakhs)

Sr No.	Name of the party	Amount	% of Total Borrowings
1	Top 10 Borrowings		5,01,570
2	% age of Total Borrowings		70%

(iv) Funding Concentration based on significant instrument/product
(Amount in lakhs)

Sr No.	Name of Significant Instrument / Product **	Amount	% of Total Liabilities ***
1	Non-Convertible Debenture	2,91,230	40%
2	Commercial Paper	3,69,500	51%
3	Borrowing from Banks	39,900	5%
4	Inter Corporate Deposits	-	0%
5	Unsecured Debentures	20,000	3%

** A "significant instrument/product" is defined as a single instrument/product of group of similar instruments/products which in aggregate amount to more than 1% of total liabilities.

*** Total Liabilities has been computed as sum of all liabilities (Balance Sheet figure) less Equities and Reserves/Surplus

(v) Stock Ratios:

Sr No.	Instrument/Product	%age of		
		Total Public Funds	Total Liabilities	Total Assests
1	Commercial Paper	51.27%	50.93%	38.76%
2	Non Convertible Debentures (Original Maturity of Less than One Year)	0.00%	0.00%	0.00%
3	Borrowing from Banks (short term borrowings)	5.54%	5.50%	4.19%
4	Inter Corporate Deposits (short term borrowings)	0.00%	0.00%	0.00%