

**KOTAK MAHINDRA INVESTMENTS LIMITED**

Address: Reg. Office 27 BKC, C 27, G Block, Bandra Kurla Complex, Bandra East, Mumbai – 400051  
Contact details: T: 022 62185000 F: 022 62215400, Web site : www.kotak.com

**Loan – Application Form**

Status of Applicant:  Individual  Institution - Specify \_\_\_\_\_

Name of the Applicant: \_\_\_\_\_ Male / Female: \_\_\_\_\_

Date of Birth / Incorporation / Registration \_\_\_\_/\_\_\_\_/\_\_\_\_ Resident Status: \_\_\_\_\_

PAN of Applicant: \_\_\_\_\_ CIN: \_\_\_\_\_

Father / Husband's Name: \_\_\_\_\_ Mother's Maiden Name: \_\_\_\_\_

**ADDRESS: (Permanent)**

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_ Residing since \_\_\_\_\_

ADDRESS: (Correspondence  Tick Here if the address is same as Permanent Address)

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_ Telephone no : \_\_\_\_\_

Mobile No : \_\_\_\_\_ Fax no : \_\_\_\_\_ E-mail ID : \_\_\_\_\_

**In case of Institution**

Contact Person: \_\_\_\_\_ Designation: \_\_\_\_\_ Mobile no \_\_\_\_\_

Telephone no: \_\_\_\_\_ Fax no: \_\_\_\_\_ E-mail ID: \_\_\_\_\_

Source of Income / Funds: \_\_\_\_\_ Nature of Business: \_\_\_\_\_

Gross Annual Income / Turnover: \_\_\_\_\_ Existing Relationship with Kotak Group if any: \_\_\_\_\_

Purpose of Borrowing: \_\_\_\_\_

Any POA/Mandate given. (Name of the holder) \_\_\_\_\_

**Details of proposed Guarantor(s):**

Name:	Name:
PAN:	PAN:
CIN:	CIN:
Address:	Address:

• **Details of loan requested:**

a) Loan amount Requested : \_\_\_\_\_

**Declaration**

1. I/We declare that all the particulars and information, with respect to borrower entity, financial data, security related documents and the details given/ filled in this Application Form are true, correct, and up to date in all respects and that I/We have not withheld any information whatsoever. The Information shall form the basis of any facility / service that KMIL (Kotak Mahindra Investments Limited) may decide to grant to me/us at its sole discretion
2. I /We confirm that no insolvency proceedings or suits for recovery by any secured or unsecured creditors or any criminal proceedings or any dispute or prohibitory order relating to proposed security offered have been initiated

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- and /or are pending against me/ us and that I/We have never been adjudicated insolvent by any court or other authorities. I/We also confirm that there is no inquiry or order restraining the borrower or proposed security provider passed by SEBI or any such authorities.
3. I/We declare that I/We have not made any payments in cash or bearer cheque or kind along with or in connection with this application to the executive collecting my/our application. I/we shall not hold KMIL liable for any such payments made by us to the executive collecting this application.
  4. I/we specifically authorize KMIL and all its group/business associate companies and their agents to collect, exchange, share or part with all or any of our information for any purpose including cross selling and referral.
  5. I/We understand and acknowledge that KMIL shall have the absolute discretion, without assigning any reasons to reject this application and KMIL shall not be responsible in any manner whatsoever to me/us for such rejection or any delay in notifying me /us of such rejection and any costs, losses, damages or expenses, or other consequences, caused by reasons of such rejection. Quantum of the loan and rate of interest will be finally decided and offered by KMIL and KMIL or any of its officers or agent has not made any commitment to me/us regarding the same.
  6. I/We understand that any processing charges paid to KMIL through banking channels shall not be refundable irrespective of sanction or rejection of application for any reason whatsoever.
  7. The Applicant(s) hereby authorizes KMIL to disclose any information /documents provided in relation to loan application as KMIL may deem appropriate and necessary, to Reserve Bank of India , Income Tax Authorities, any Credit Bureau, Credit Rating Agencies and any other person or authority and also to obtain information from these agencies/authorities/etc., with respect to the Applicant(s), so as to enable KMIL to appraise the Applicant's Application for grant of such facility.
  8. I/We are aware that the company will be charging interest rate based on its interest rate model and different rates may be charged to different customers based on their risk profile and other relevant parameters. We also confirm that we have been informed of various charges to be borne and paid by us in case any quantum of loan is sanctioned and availed by us.
  9. I /We confirm that translation of this application form into the language known to me has been explained in detail and I have signed this application form with complete understanding.

**Important Notice:**

KMIL may request for additional documents other than those in connections with the application. That all undated cheques are to be issued favoring KMIL only. I/We confirm that executive who collected my /our applications/ documents has informed me/us and I/we am/are fully aware - (1). That service tax as may be applicable from time to time will be charged in connection with the Loan. (2). That KMIL will not be liable for loss or delay in receipt of the documents. (3). that incomplete/defective applications will not be processed and KMIL shall not be responsible for any manner for the resulting delay or otherwise. (4). that the applications forms, documents/ photographs will not be returned under any circumstances once submitted to KMIL. (5) This application form is considered valid unless the applicant revokes the same.

**Date:**

**Applicant's Signature**

**Consent for communication and notifications:**

By signing below you are authorizing us to contact you for all the products and services offered by KMIL in spite of the fact that you may be registered in the DND (Do Not disturb)/ DNC (Do not Call) registry.

**Date:**

**Applicant's**

**Signature**

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**Note:**

Following documents needs to be submitted along with the Loan Application form.

**General documents - self-certified copies**

**Applicable for borrower / guarantor / Security provider**

1. A passport size photograph of individual person.
2. PAN card
3. Address Proof (As specified by RBI from time to time)
4. Signature Verification
5. Latest two year financial documents, any of one of the below mentioned
  - a. Latest two years Income Tax return
  - b. Latest two years audited financials
  - c. Latest two years Form 16 (in case of individual)
  - d. Net worth certificate duly certified by Chartered Accountant
6. KYC of Authorized person other than borrower.

<b>In case of Corporate entity</b> <ol style="list-style-type: none"><li>1. General Documents (except Photograph)</li><li>2. Memorandum and Article of association</li><li>3. RBI certificate (in case of NBFC )</li><li>4. SEBI certificate ( In case of Broker entity)</li><li>5. List of directors with PAN &amp; DIN details</li><li>6. Share holding pattern</li><li>7. Beneficiary Ownership declaration</li></ol>	<b>In case of Partnership firm / LLP</b> <ol style="list-style-type: none"><li>1. General Documents (except Photograph)</li><li>2. Partnership / LLP deed of agreement</li><li>3. Current List of partners with PAN details</li></ol>
<b>In case of Trust</b> <ol style="list-style-type: none"><li>1. General documents (except Photograph)</li><li>2. Trust Deed</li><li>3. List of Trustees</li><li>4. List of beneficiaries with PAN details</li></ol>	<b>In case of HUF</b> <ol style="list-style-type: none"><li>1. General documents (except Photograph)</li><li>2. KYC of Karta</li><li>3. List of Coparceners with PAN details</li></ol>

Any other document as may require by KMIL at any time.

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**Acknowledgment**

Received the Loan Application from: \_\_\_\_\_ Date: \_\_\_\_\_  
for an amount  
of Rs. \_\_\_\_\_

For Kotak Mahindra Investments Limited

Signature